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jc849 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL Under Small Entity Status (New Nonprovisional Applications Under 37 CFR § 1.53(b))	Attorney Docket No. <u>0013-011P1</u>
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jc973 U.S. PTO
09/760271
01/12/01

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, David N. Harris, entitled System and Method for Pre-Verifying Commercial Transactions, for a(n):

- () Original Patent Application.
- (X) Continuing Application (prior application not abandoned):
- () Continuation () Divisional (X) Continuation-in-part (CIP)
 - of prior application No: 09/617,361 Filed on: 7/17/2000.
 - (X) A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

- (X) Specification; 36 Total Pages. (X) Drawing(s); 17 Total Sheets.
- (X) Oath or Declaration:
- (X) A Newly Executed Combined Declaration and Power of Attorney:
 - (X) Signed. () Unsigned. () Partially Signed.
- () A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
 - () Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.
 - () Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
- () Power of Attorney. (X) Return Receipt Postcard.
- () Associate Power of Attorney. (X) A Check in the amount of \$499.00 for the Filing Fee.
- () Preliminary Amendment. () Information Disclosure Statement and Form PTO-1449.
- () A Duplicate Copy of this Form for Processing Fee Against Deposit Account.
- () A Certified Copy of Priority Documents (if foreign priority is claimed).
- () Statement(s) of Status as a Small Entity.
- (X) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
- () Other: _____

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	36	16	\$9.00	\$ 144.00
Independent Claims	2	0	\$40.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$0.00
Basic Filing Fee				\$355.00
Total Filing Fee				\$ 499.00

Charge \$ _____ to Deposit Account _____ pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any fees required or credit any overpayment to this Deposit Account.

Respectfully submitted,

By: Larry E. Henneman, Jr.
Larry E. Henneman, Jr., Reg. No. 41,063

Date: 1/12/01

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I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

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